

**Jerome H. Levy**  
435 E. 79<sup>th</sup> St., Apt. 11P  
New York, NY 10021  
212.737.1529  
jlevy.finadv@rcn.com

**File Last Updated: 1/10/05**

**I. Profession    Accrediting Agency or Jurisdiction                      Date of Admission**

Non-attorney practitioner

**II. Professional Organization                      Date of Admission                      Active/Inactive**

NYSE	1990	Active
NASD	1990	Active
AAA	1990	Inactive
NFA	1994	Inactive

**III. General professional experience:**

Over fifteen years experience as NYSE and NASD arbitrator, have chaired or sat on more than 150 cases.

As mediator, I successfully brought to settlement more than 15 cases at the NYSE. I am also on the NASD's roster of mediators, where I have also been involved in settlements.

Retired Investment banker from Goldman, Sachs & Co.; Former adjunct professor of finance at NYU Stern Graduate school of Business.

**IV. General pertinent experience:**

In addition to the above, the experience I have as a result of almost 25 years of negotiating a wide variety of transactions during my years at Goldman Sachs and later as an owner of a leasing company, enables me to help parties resolve their disputes based on my developing a thorough understanding of the strengths and weaknesses of each side.

**V. Mediation training:**

NASD, NFA and NYSE training, and Professional mediation course given by Institute for conflict Management..

**VI. Pertinent bankruptcy training:**

None.

**VII. General pertinent business or legal experience:**

Described in sections above.